BEFORE THE PHYSICIAN ASSISTANT EXAMINING COMMITTEE MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusation Against:)	
ROBERT E. GRANTHAM, P.A. Certificate No. PA-11140))	No. 1E-96-61531
Respondent) _)	

DECISION

The attached Stipulation in Settlement and Decision is hereby adopted by the Physician Assistant Examining Committee as its Decision in the above-entitled matter.

This Decision shall become effective on <u>December 15</u>, 1997.

IT IS OR ORDERED November 14, 1997

By:

STEVEN D. JOHNSON

Chairperson

Physician Assistant Examining Committee

ı l	DANIEL E. LUNGREN, Attorney General	 	
2	of the State of California DANIEL J. TURNER,		
3	Deputy Attorney General State Bar No. 79560		
	Department of Justice		
4	110 West A Street, Suite 1100 Post Office Box 85266		
5	San Diego, California 92186-5266 Telephone: (619) 645-3037	,	
6	Attorneys for Complainant		
7	Accorded to the second		
8	PHYSICIAN ASSISTANT EXAMINING COMMITTEE MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS		
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11	In the Matter of the Accusation Case No. 1E-96-61531		
12	Against:)) OAH No. N1997060435		
13	ROBERT E. GRANTHAM, P.A.) 3409 Brisbane) <u>STIPULATION IN</u>		
14	Bakersfield, CA 93313-4229) <u>SETTLEMENT AND DECIS</u>	<u>sion</u>	
15	License No. PA-11140		
16	Respondent.		
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18	Complainant, Ray E. Dale, Executive Officer of the		
19	Physician Assistant Examining Committee of the State of		
20	California, by and through his attorney, Daniel E. Lungren,		
21	Attorney General of the State of California, by Daniel J. Turner,		
22	Deputy Attorney General, and Robert E. Grantham, P.A.		
23	("respondent"), hereby stipulate as follows:		
24	1. The Physician Assistant Examining Committee		
25	Medical Board of California, Department of Consumer Affairs		
26	("Committee") acquired jurisdiction over respondent by reason of		
27	the following:		

- A. Respondent was duly served with a copy of the Accusation, Statement to Respondent, Request for Discovery, Form Notice of Defense and copies of Government Code sections 11507.5, 11507.6 and 11507.7 as required by section 11503 and 11505, and respondent timely filed a Notice of Defense within the time allowed by section 11506 of the Code.
- B. Respondent has received and read the Accusation which is presently on file as Case No.

 1E-96-61531, before the committee. Respondent understands the nature of the charges alleged in the Accusation and that the charges and allegations constitute cause for imposing discipline upon respondent's license to practice as a physician assistant which was issued by the committee.
- 2. Respondent is representing himself and is not represented by counsel and has chosen not to have an attorney represent him in this matter.
- 3. Respondent is aware of each of respondent's rights, including the right to a hearing on the charges and allegations, the right to confront and cross-examine witnesses who would testify against respondent, the right to present evidence in favor and call witnesses on his behalf, or to testify, his right to contest the charges and allegations, and other rights which are accorded to respondent pursuant to the California Administrative Procedure Act (Gov. Code, § 11500 et seq.), including the right to seek reconsideration, review by the superior court, and appellate review.

- 4. Respondent freely and voluntarily waives each and every one of the rights set forth in paragraph 3.
- 5. Respondent understands that in signing this
 Stipulation rather than contesting the accusation, he is enabling
 the Physician Assistant Examining Committee, Medical Board of
 California, to issue the following order without further process.
- The parties agree that the Stipulation recited herein shall be null and void and not binding upon the parties unless approved by the committee, except for this paragraph, which shall remain in effect. The respondent understands and agrees that in deciding whether or not to adopt this Stipulation the committee may receive oral and written communications from its staff and the Attorney General's office. Communications pursuant to this paragraph shall not disqualify the committee or other persons from future participation in this or any other matter affecting respondent. In the event the committee in its discretion does not approve this settlement, this Stipulation, with the exception of this paragraph, is withdrawn and shall be of no evidentiary value and shall not be relied upon or introduced in any disciplinary action by either party hereto. Respondent agrees that should the committee reject this Stipulation and if this case proceeds to hearing, respondent will assert no claim that the committee was prejudiced by its review and discussion of this Stipulation or of any records related hereto.

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- 7. Respondent admits the truth of each and every allegation in Accusation No. 1E-96-61531, and agrees that respondent has thereby subjected his license to disciplinary action. Respondent agrees to be bound by the committee's Disciplinary Order as set forth below.
- 8. The parties agree that facsimile copies of this Stipulation, including facsimile signatures of the parties, may be used in lieu of original documents and signatures. The facsimile copies will have the same force and effect as originals.

DISCIPLINARY ORDER

IT IS HEREBY ORDERED that California Physician
Assistant License No. PA-11140 issued to respondent is revoked.
However, the revocation is stayed and respondent is placed on probation for five (5) years on the following terms and conditions.

1. DRUGS - ABSTAIN FROM USE/EXEMPTION FOR PERSONAL USE

Respondent shall abstain completely from the personal use or possession of controlled substances as defined in the California Uniform Controlled Substances Act, and dangerous drugs as defined by Section 4211 of the Business and Professions Code, or any drugs requiring a prescription.

Orders forbidding respondent from personal use or possession of controlled substances or dangerous drugs do not apply to medications lawfully prescribed to respondent for a bona fide illness or condition by another practitioner.

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2. CONTROLLED DRUGS - MAINTAIN RECORD

Respondent shall maintain a record of all controlled substances administered, transmitted crally or in writing on a patient's record or handed to a patient by the respondent during probation showing all the following: 1) the name and address of the patient, 2) the date, 3) the character and quantity of controlled substances involved, and 4) the indications and diagnosis for which the controlled substance was furnished, 5) the name of supervising physician prescriber.

Respondent shall keep these records in a separate file or ledger, in chronological order, and shall make them available for inspection and copying by the Physician Assistant Examining committee or its designee upon request and without charge.

- 3. <u>BIOLOGICAL FLUID TESTING</u> Respondent shall immediately submit to biological fluid testing upon the request of the committee or its designee. The cost of the biological fluid testing shall be borne by respondent.
- 4. <u>DIVERSION PROGRAM</u> Within thirty (30) days from the effective date of this decision, respondent shall enroll and participate in the Physician Assistant Examining Committee's Diversion Program until the committee or its designee determines that further treatment and rehabilitation is no longer necessary. Respondent shall participate in the program at own expense. Quitting the program without permission or being expelled for cause shall constitute a violation of probation by respondent.

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5. EDUCATION COURSE

Within 90 days of the effective date of the decision, and on an annual basis thereafter, respondent shall submit to the Physician Assistant Examining Committee or its designee for its prior approval an education program or course from an accredited program which shall not be less than 100 hours per year (50 hours Category 1 CME and 40 hours Category 2 CME), for each year of probation. Respondent shall participate in the education course at his own expense. Respondent shall provide proof of attendance and satisfactory completion for the annual 100 hours per year of continuing medical education.

6. PSYCHOLOGICAL EVALUATION/TREATMENT

Within 30 days of the effective date of this decision, and on a periodic basis thereafter as may be required by the committee or its designee, respondent shall undergo a psychological evaluation by the committee-appointed psychological evaluator who shall furnish a psychological report to the committee or its designee.

If respondent is determined to be unable to practice independently and safely, he shall immediately cease practice as a physician assistant and shall not resume practice until notified by the committee or its designee. Respondent shall not engage in any practice of which a physician assistant license is required until the committee or its designee has notified the respondent of its determination that respondent may resume practice.

If respondent is required by the committee or its designee to undergo psychological treatment, respondent shall within 30 days of the requirement notice submit to the committee for its prior approval the name and qualifications of a psychological evaluator of respondent's choice. Upon approval of the treating psychological evaluator, respondent shall undergo and continue psychological treatment until further notice from the committee. Respondent shall have his treating psychological evaluator submit quarterly status reports to the committee indicating whether the respondent is capable of practicing medicine safely. The cost of psychological evaluation/treatment shall be borne by respondent.

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7. MEDICAL EVALUATION Within thirty (30) days of the effective date of this decision, and on a periodic basis thereafter as may be required by the committee or its designee, respondent shall undergo a medical evaluation and/or treatment by a committee-appointed physician who shall furnish a medical report to the committee or its designee. The cost of the medical evaluation and/or treatment shall be borne by respondent.

If respondent is determined to be unable to practice independently and safely, he shall immediately cease practice and shall not resume practice until notified by the committee or its designee. Respondent shall not engage in any practice for which a license is required until the committee or its designee has notified the respondent of its determination that respondent may resume practice.

If respondent is required by the committee or its

designee to undergo medical treatment, respondent shall, within thirty (30) days of the requirement notice, submit to the committee or its designee for its prior approval the name and qualifications of a physician of respondent's choice. Upon approval of the treating physician, respondent shall undergo and continue medical treatment until further notice from the committee or its designee. Respondent shall have the treating physician submit quarterly reports to the committee or its designee.

8. MAINTENANCE OF PATIENT MEDICAL RECORDS

Respondent shall keep written medical records on all patient contacts (including all visits and phone calls). All medical records originated by the respondent shall be reviewed, initialed, and dated daily by supervising physician. This condition shall be valid for five (5) years from the effective date of the decision.

9. NOTIFICATION OF EMPLOYER AND SUPERVISING PHYSICIAN

Respondent shall notify his current and any subsequent employer and supervising physician(s) of his discipline and provide each a copy of this Stipulated Settlement and Disciplinary Order to each such employer and supervising physician(s) during his period of probation, at onset of that employment. Respondent shall ensure that each employer informs the Physician Assistant Examining Committee, or its designee, in writing within thirty (30) days, verifying that the employer and supervising physician(s) have been informed of this Stipulation and Disciplinary Order.

- 10. OBEYALLIAWS Respondent shall obey all federal, state and local laws, all rules governing the practice of medicine as a physician assistant in California, and remain in full compliance with any court ordered criminal probation, payments and other orders.
- 11. OUARTERLY REPORTS Respondent shall submit quarterly declarations under penalty of perjury on forms provided by the committee, or its designee, stating whether there has been compliance with all the conditions of probation.
- 12. <u>SURVEILLANCE PROGRAM</u> Respondent shall comply with the committee's probation surveillance program.
- appear in person for interviews with the committee's medical or expert physician assistant consultant upon request at various intervals and with reasonable notice.

14. TOLLING FOR OUT-OF-STATE PRACTICE OR RESIDENCE

The period of probation shall not run during the time respondent is residing or practicing outside the jurisdiction of California. If, during probation, respondent moves out of the jurisdiction of California to reside or practice elsewhere, including federal facilities, respondent is required to immediately notify the committee in writing of the date or departure, and the date or return, if any.

appear in person for an initial interview with a designee of the PAEC within 90 days of the final decision. Respondent shall

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subject himself to an initial interview at a time and place determined by the committee or its designee.

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- calendar year or more frequently as determined by the committee or its designee, unannounced clinical site visits shall be made by the committee or its designee to ensure that respondent is complying with all terms and conditions of probation.
- 17. <u>COMPLETION OF PROBATION</u> Upon successful completion of probation as determined by the committee's Executive Officer, respondent's license will be fully restored.
- probation in any respect, the committee, after giving respondent notice and the opportunity to be heard, may revoke probation and carry out the disciplinary order that was stayed. If an accusation or petition to revoke probation is filed against respondent during probation, the committee shall have continuing jurisdiction until the matter is final, and the period of probation shall be extended until the matter is final.
- reimburse the Physician Assistant Examining Committee the amount of Three Thousand, Six Hundred and Ninety-Two Dollars (\$3,692.00) for its investigative and prosecution costs, payable to the committee in monthly installments of One Hundred Dollars (\$100.00). The first installment of \$100.00 is due within 30 days from the effective date of this Decision. Failure to reimburse the committee's cost of investigation and presecution shall constitute a violation of the probation order. The filing

of bankruptcy by the respondent shall not relieve the respondent of his responsibility to reimburse the committee for its investigative and prosecution costs.

date of this decision, if respondent ceases practicing due to retirement, health reasons or is otherwise unable to satisfy the terms and conditions of probation, respondent may voluntarily tender his license to the committee. The committee reserves the right to evaluate the respondent's request and to exercise its discretion whether to grant the request, or to take any other action deemed appropriate and reasonable under the circumstances. Upon formal acceptance of the tendered license, respondent will not longer be subject to the terms and conditions of probation.

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ACCEPTANCE

I have carefully read and fully understand the above Stipulated Settlement and Disciplinary Order. I understand the effect this Stipulated Settlement and Disciplinary Order will have on my Physician Assistant License No. PA-11140 and agree to be bound thereby. I enter this stipulation freely, knowingly, intelligently and voluntarily.

DATED: 8/5/57

ROBERT E. GRANTHAM

Respondent

ENDORSEMENT

The foregoing Stipulated Settlement and Disciplinary
Order is hereby respectfully submitted for the consideration of
the Physician Assistant Examining Committee, Medical Board of
California, Department of Consumer Affairs.

DATED: 10-8-97

DANIEL E. LUNGREN, Attorney General of the State of California

DANIEL DE TURNER

Deputy Attorney General

Attorneys for Complainant

Attachment

ACCUSATION

1	DANIEL E. LUNGREN, Attorney General of the State of California		
2	GAIL M. HEPPELL		
3	Supervising Deputy Attorney General DANIEL J. TURNER		
4	Deputy Attorney General FILED 1300 I Street, Suite 125 STATE OF CALIFORNIA P. O. Boy 944255		
5	ILE O DOA JEZZODAA AP AN KINDWIN		
6	Sacramento, CA 94244-2550 Telephone: (916) 327-7852 MEDICAL BOARD OF CALIFORNIA SACRAMENTO SACRAMENTO ASSOCIATE BY		
7	Attorneys for Complainant		
8	BEFORE THE		
9	PHYSICIAN ASSISTANT EXAMINING COMMITTEE		
10	STATE OF CALIFORNIA		
11	In the Matter of the Accusation) Case No. 1E-96-61531		
12	Against:		
13	ROBERT EDWIN GRANTHAM, P.A.) ACCUSATION 3409 Brisbane)		
14	Bakersfield, CA 93313-4229)		
	Physician Assistant)		
15	License No. PA-11140,		
16	Respondent.)		
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18	The Complainant alleges:		
19	PARTIES PARTIES		
20	1. Complainant, Ray E. Dale, the Executive Officer of		
21	the Physician Assistant Examining Committee (hereinafter the		
22	"Committee") brings this accusation solely in his official		
- 23	capacity.		
24	2. On or about April 13, 1982, Physician Assistant		
25	License No. PA-11140 was issued by the Committee to Robert Edwin		
26	Grantham (hereinafter "respondent"), and at all times relevant to		
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the charges brought herein, this license has been in full force and effect. Unless renewed, it will expire on February 28, 1999.

JURISDICTION

- 3. This accusation is brought before the Physician Assistant Examining Committee, Department of Consumer Affairs (hereinafter the "Division"), under the authority of the following sections of the California Business and Professions Code (hereinafter "Code"):
- A. Section 3527(a) of the Code provides, in part, that the Committee may discipline a physician assistant license by suspension, revocation, or otherwise for unprofessional conduct which includes, but is not limited to, a violation of the State Medical Practice Act.
- B. Section 3524 of the Code provides, in part, that a license may be renewed at any time within five years after its expiration by filing an application for renewal.
- C. Section 125.3 of the Code provides, in part, that the Committee may request the administrative law judge to direct a licentiate found to have committed a violation or violations of the licensing act to pay a sum not to exceed the reasonable costs of the investigation and enforcement of the case.
 - D. Section 2234 of the Code provides:

"The Division of Medical Quality shall take action against any licensee who is charged with unprofessional conduct. In addition to other provisions of this article, unprofessional conduct includes, but is not limited to, the following:

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- "(a) Violating or attempting to violate, directly or indirectly, or assisting in or abetting the violation of, or conspiring to violate, any provision of this chapter.
 - "(b) Gross negligence.
 - "(c) Repeated negligent acts.
 - "(d) Incompetence.
- "(e) The commission of any act involving dishonesty or corruption which is substantially related to the qualifications, functions, or duties of a physician and surgeon.
- "(f) Any action or conduct which would have warranted the denial of a certificate."
- E. Section 2238 of the Code provides, in part, that a violation of any federal statute or federal regulation or any of the statutes or regulations of this state regulating dangerous drugs or controlled substances constitutes unprofessional conduct.
- F. Section 4060 of the Code provides, in part, that no person shall possess any controlled substance except that furnished to the person upon a prescription of a physician, dentist, podiatrist or veterinarian.
- G. "Vicodin" is a compound consisting of 5 mg. hydrocodone bitartrate also known as dihydrocodeinone, a Schedule III controlled substance as designated by Health and Safety Code section 11056(e)(4), and 500 mg. acetaminophen per tablet.
- H. Section 2239 of the Code provides, in part, that the use or prescribing for or administering to himself or herself, of any controlled substance; or the use of any of the

dangerous drugs specified in Section 4211, or of alcoholic beverages, to the extent, or in such a manner as to be dangerous or injurious to the licensee, or to any other person or to the public, or to the extent that such use impairs the ability of the licensee to practice medicine safely or more than one misdemeanor or any felony involving the use, consumption, or self-administration of any of the substances referred to in this section, or any combination thereof, constitutes unprofessional conduct. The record of the conviction is conclusive evidence of such unprofessional conduct.

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FIRST CAUSE OF ACTION

- 4. Respondent is subject to disciplinary action under section 3527(a) of the Business and Professions Code on the grounds of unprofessional conduct as defined in section 2239 of that Code in that, while employed as a physician assistant at Corcoran Community Medical Group, he obtained and used Vicodin, a controlled substance, in a manner dangerous or injurious to himself and others. The circumstances are as follows:
- A. In approximately December 1995, respondent requested from A.B., a medical assistant at Corcoran Community Medical Group, that A.B. give respondent Vicodin that had been prescribed to A.B. A.B. gave respondent two tablets. Respondent said that would not do and requested more. A.B. gave respondent approximately one half of a full bottle of 30 Vicodin tablets.
- B. On or about February 20, 1996, respondent approached A.B. and asked her if he could call in a prescription of Vicodin in A.B's name because respondent's wife had misplaced

her Vicodin. A.B. agreed. Respondent called in the prescription for A.B. at Jerry's Pharmacy. A.B. later obtained the prescription of 45 tablets of Vicodin from the pharmacy and gave the Vicodin to respondent. The Vicodin was for respondent's personal use.

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SECOND CAUSE OF ACTION

- 5. Respondent is subject to disciplinary action under section 3527(a) of the Business and Professions Code on the grounds of unprofessional conduct as defined in section 2238 of that Code in that while licensed as a physician assistant he violated a state statute regulating controlled substances. The circumstances are as follows:
- A. In December 1995 and February 1996, respondent possessed Vicodin, a controlled substance, in violation of Business and Professions Code section 4060. The Vicodin respondent possessed was obtained from A.B.
- B. On or about February 20, 1996 respondent, while employed as a physician assistant at Corcoran Community Medical Group, obtained Vicodin by fraud, deceit, misrepresentation or subterfuge in violation of Health and Safety Code section 11173(a).

THIRD CAUSE OF ACTION

6. Respondent is subject to disciplinary action under section 3527(a) of the Business and Professions Code on the grounds of unprofessional conduct as defined in section 2234(e) of that code in that while licensed as a physician assistant he committed an act involving dishonesty or corruption which is

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substantially related to the qualifications, functions or duties of a physician assistant. The circumstances are as follows:

A. On or about February 20, 1996, respondent, while employed as a physician assistant at Corcoran Community Medical Group, obtained Vicodin by calling in a prescription of Vicodin at Jerry's Pharmacy for A.B. with the intent of obtaining the Vicodin for his personal use. Respondent had A.B. pick up the 45 tablets of Vicodin at the pharmacy and give the Vicodin to respondent.

PRAYER

WHEREFORE, complainant prays that the Committee hold a hearing on the matters alleged herein and, following said hearing, issue a decision:

- 1. Revoking or suspending physician assistant license number PA-11140, issued to Robert Edwin Grantham.
- 2. Ordering Robert Edwin Grantham to pay to the Physician Assistant Examining Committee its costs for investigation and enforcement according to proof at the hearing, pursuant to Business and Professions Code section 125.3.
- 3. Taking such other and further actions as may be deemed proper and appropriate.

DATED: May 16, 1997

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RAY E. DALE
Executive Officer
Physician Assistant Examining
Committee
Department of Consumer Affairs
State of California

Complainant